



## Bonds and Government Securities

	Transaction Charge
Treasury, Government, and Agency Issues	\$30
Listed Corporate Bonds	\$35
OTC Corporate Bonds	\$35
Municipal Bonds	\$30
All bond redemptions	\$ 5

## Other Trade Fees

	Transaction Charge
Money Markets (CDs, CP, BA's, etc) purchases	\$35
Money Markets (CDs, CP, BA's, etc) redemptions	\$ 5
ETFs	\$25
UIT and Precious Metals	\$35
Mortgage Back/When Used	\$35

## Account Service Fees

Margin extensions	\$10 per event
Confirmation fee	\$2 per confirmation
Statement surcharge	\$0.75 per physical statement produced
Confirmation surcharge	\$0.75 per physical confirmation produced
Voluntary cash tenders	\$20 per event
Mandatory tenders	\$5 per event
Legal transfers	\$20 per event
Accommodation transfers/bearer bond withdrawals	\$25 per event
International safekeeping	\$5 per account, per position, per quarter
Returned check	\$25 per event
Returned ACH	\$20 per event
Copy of check	\$5 per check
Wired funds	\$20 per wire
Inactive equity account	\$25 per year
Inactive mutual fund only account	\$12.50 per year
Customer name safekeeping charge	\$10 per account, per position, per quarter
Outbound transfer – non-retirement account	\$85
Outbound transfer – retirement account	\$75 plus corresponding maintenance fee
Equity dividend reinvestment	\$1.00 per investment (no fee for IRA accounts)

## Annual Custodial Fees

Qualified Plans and 403(b)(7) accounts	\$50.00
SIMPLEs and Prototype SEPs	\$50.00
Traditional, Roth, Education IRAs, and 5305 SEPs	\$35.00
Mutual fund only IRAs	\$10.00
Special Product Fee	\$35.00

## International Clearing

Eurobond clearance	\$50.00
All other foreign securities	\$75.00

### ACCOUNTS CARRIED AT PERSHING LLC

\*Fees subject to change at any time

### INFINEX INVESTMENTS, INC. Member FINRA/SIPC

*This schedule represents Infinex's standard fees and expenses. Depending upon the circumstances, individual advisors may have the ability to negotiate lower fees and expenses for their clients.*

NOT A DEPOSIT	NOT FDIC INSURED	NOT GUARANTEED BY THE BANK
NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY		MAY GO DOWN IN VALUE